

Att. Docket No.: 4861/8

AE/ 3621  
JFL

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In Application of:

Jonathan SCHULL

Serial No.

:

09/942,232

Examiner:

Backer, Firmin.

Filed

:

August 29, 2001

Art Unit:

3621

Title

:

METHOD FOR SELLING, PROTECTING, AND  
REDISTRIBUTING DIGITAL GOODS

Mail Stop Amendment

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

Applicant respectfully submits herewith an Information Disclosure Statement (IDS), and an Information Disclosure Citation List, pursuant to 37 C.F.R. §§ 1.97 and 1.98. Pursuant to C.F.R. § 1.98(d), copies of the documents listed in the IDS Citation List were provided in a parent application, U.S. Patent Application No. 09/764,293, and are therefore not enclosed.

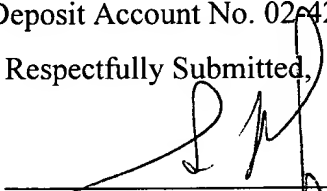
The Examiner is requested to consider the enclosed documents, make them of record, and indicate his or her consideration of the documents by initialing the enclosed Citation List adjacent the citation of the document. Please return a copy of the initiated Citation form to the applicant's undersigned Attorney.

The Commissioner is hereby authorized to charge any deficiency, or to credit any overpayment, to the undersigned attorney's Deposit Account No. 02-4270.

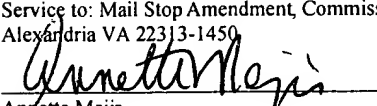
Respectfully Submitted,

Dated: \_\_\_\_\_

5/4/05

  
Steven S. Rubin, Reg. No. 43,063  
BROWN RAYSMAN MILLSTEIN FELDER  
& STEINER LLP  
900 Third Avenue  
New York, NY 10022  
Tel: (212) 895-2000  
Fax: (212) 895-2600

I hereby certify that this paper is being deposited this date with the U.S. Postal Service to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.

  
Annette Mejia

  
Date



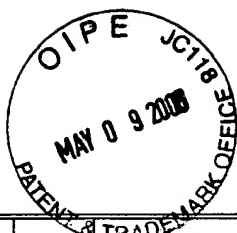
<b>INFORMATION DISCLOSURE CITATION LIST</b>	<b>Atty. Docket No.:</b> 4861/8	<b>Application Serial No.:</b> 09/942,232
	<b>Applicants:</b> Jonathan SCHULL	
	<b>Filing Date:</b> August 29, 2001	<b>Group Art Unit:</b> 3621

**U.S. PATENT DOCUMENTS**

Exam. Init	Document Number	Date	Name	Class	Subclass
	3,609,697	9/28/71	Blevins et al.		
	3,798,605	3/19/74	Feistel		
	3,806,882	4/23/74	Clarke		
	3,956,615	5/11/76	Anderson et al.		
	4,168,396	9/18/79	Best		
	4,253,157	2/24/81	Kirschner et al.		
	4,278,837	7/14/81	Best		
	4,319,079	3/09/82	Best		
	4,433,207	2/21/84	Best		
	4,458,315	7/03/84	Uchenick		
	4,528,643	7/09/85	Freeny, Jr.		
	4,593,376	6/03/86	Volk		
	4,658,093	4/14/87	Hellman		
	4,683,553	7/28/87	Mollier		
	4,688,169	8/18/87	Joshi		
	4,740,890	4/26/88	William		
	4,747,139	5/24/88	Taaffe		
	4,817,140	3/28/89	Chandra et al.		
	4,827,508	5/02/89	Shear		
	4,864,494	9/5/89	Kobus Jr.		
	4,864,616	9/05/89	Pond et al.		



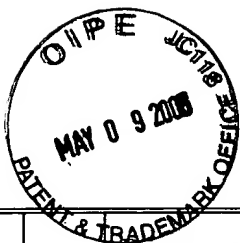
Exam. Init	Document Number	Date	Name	Class	Subclass
	4,888,798	12/19/89	Earnest		
	4,924,378	5/08/90	Hershey et al.		
	4,991,208	2/05/91	Walker et al.		
	4,998,279	3/05/91	Weiss		
	4,999,806	3/12/91	Chernow et al.		
	5,010,571	4/23/91	Katznelson		
	5,023,907	6/11/91	Johnson et al.		
	5,027,398	6/25/91	Miyoshi		
	5,046,090	9/03/91	Walker et al.		
	5,046,092	9/03/91	Walker et al.		
	5,047,928	9/10/91	Wiedemer		
	5,054,064	10/01/91	Walker et al.		
	5,058,162	10/15/91	Santon et al.		
	5,065,429	11/12/91	Lang		
	5,070,479	12/03/91	Nakagawa		
	5,099,516	3/24/92	Durkin et al.		
	5,103,476	4/07/92	Waite et al.		
	5,109,413	4/28/92	Comerford et al.		
	5,113,518	5/12/92	Durst, Jr. et al.		
	5,117,458	5/26/92	Takaragi et al.		
	5,119,319	6/02/92	Tanenbaum		
	5,126,728	6/30/92	Hall		
	5,166,866	11/24/92	Molnar et al.		
	5,222,134	6/22/93	Waite et al.		
	5,235,642	8/10/93	Wobber et al.		
	5,257,373	10/26/93	Kurihara et al.		
	5,260,999	11/09/93	Wyman		



Exam. Init	Document Number	Date	Name	Class	Subclass
	5,305,447	4/19/94	Hampshire, R.D.		
	5,337,360	8/09/94	Fischer		
	5,363,483	11/08/94	Jones et al.		
	5,438,508	8/01/95	Wyman		
	5,479,509	12/26/95	Ugon		
	5,509,070	4/16/96	Schull		
	5,530,752	6/25/96	Rubin		
	5,606,609	2/25/97	Houser et al.		
	5,629,980	5/13/97	Stefik et al.		
	5,634,012	5/27/97	Stefik et al.		
	5,638,443	6/10/97	Stefik et al.		
	5,649,013	7/15/97	Stuckey et al.		
	5,754,864	5/19/98	Hill		
	5,765,152	6/09/98	Erickson		
	5,790,664	8/04/98	Coley et al.		
	6,243,692	6/05/01	Michel Floyd, <i>et al.</i>		
	6,256,393	7/03/01	Reem Safadi, <i>et al.</i>		
	6,266,654	7/24/01	Jonathan Schull		
	6,298,317	10/02/01	Alan Wiemann		
	6,343,295	1/29/02	Stewart P. MacLeod, <i>et al.</i>		
	6,434,558	8/13/02	Stewart P. MacLeod, <i>et al.</i>		

#### FOREIGN PATENT DOCUMENTS

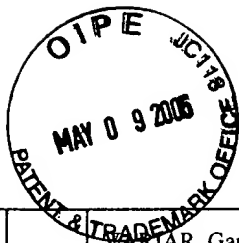
Exam. Init.	Document Number	Date	Country	Class	Translation
	EP 0 084 441 A2	01/19/82	EPO		
	EP 0 180 460 A1	05/21/85	EPO		
	EP 0 128 672 A1	05/13/83	EPO		



Exam. Init.	Document Number	Date	Country	Class	Translation
	EP 0 593 305 A2	10/16/92	EPO		
	EP 0 197 963 B1	10/25/84	EPO		
	EP 0 469 864 A2	07/31/90	EPO		
	GB 2,136,175 A	03/07/83	Great Britain		
	WO 85/02310	11/14/83	PCT		
	WO 90/02382	08/16/88	PCT		
	WO 93/01550	07/01/91	PCT		
	WO 98/16882	10/11/96	PCT		

**OTHER DOCUMENTS** (including Author, Title, Date, Pertinent Pages, etc.)

	ANGUITA, Davide, "Smart Adaptive Systems: State of the Art and Future Directions of Research", DIBE, University of Geneva, from the Internet at <a href="http://www.eunite.org">www.eunite.org</a> , 2001
	BEST, Robert M., "Preventing Software Piracy with Crypto-Microprocessors", Digest of Papers, VLSI: New Architectural Horizons, February 25-28, 1980, pp. 466-469
	BLOM, Rolf, <i>et al.</i> , "Encryption Methods in Data Networks", Erickson Technics, No. 3, 1976, pp. 74-105
	BRÄNDLI, Martin, "A Process-Oriented Approach for Representing Lineage Information of Spatial Data", 3 <sup>rd</sup> AGILE Conference on Geographic Information Science, Helsinki/Espoo, Finland, May 25-27, 2000, pp. 126-130
	DIFFIE, Whitfield and HELLMAN, Martin E., "New Directions in Cryptography", IEEE Transaction on Information Theory, Vol. RR22, No. 6, November 1976, pp. 644-653
	DIFFIE, Whitfield and HELLMAN, Martin E., "Privacy and Authentication: An Introduction to Cryptography", Proceedings of the IEEE, Vol. 67, No. 3, March, 1979, pp. 397-427
	Electronic Publishing Resources, Inc. "Protecting Electronically Published Properties Increasing Publishing Profits", Chevy Chase, Maryland, pp. 1-8
	GOULDE, Michael A., "Unix and NT Challenge", Computerworld, July 28, 1997, pp. 1-3
	GUILLOU, Louis C., "Smart Cards and Conditional Access", Advances in Cryptology - Proceedings of EUROCRYPT 84, Editions Springer-Verlag, 1985, pp. 480-489
	HARRISON, David R., "Client/Server Operating Systems: Challenges on All Fronts", Network Computing, No. 508, 1994, pp. 1-3
	HERZBERG, Amir and PINTER, Shlomit S., "Public Protection of Software", ACM Transactions on Computer Systems, Vol. 5, No. 4, November 1987, pp. 371-393
	KENT, Stephen Thomas, "Protecting Externally Supplied Software in Small Computers", Laboratory for Computer Science, Massachusetts Institute of Technology, September, 1980, pp. 1-253
	MORI, R. <i>et al.</i> , "Superdistribution: The Concept and the Architecture", <i>The Transactions of the IEICE</i> , Vol. E 73, No. 7, 1990, Tokyo, JP, pp. 1133-1146*Translation Only*



Sheet 5 of 5

		ARTAR, Ganesh, "The Origin of Data", IntelligentEnterprise, from the Internet at <a href="http://www.intelligententerprise.com/020201/503feat3_1.shtml">www.intelligententerprise.com/020201/503feat3_1.shtml</a> , February 1, 2002, ps. 1-6
		WEINGART, Steve H., "Physical Security for the $\mu$ ABYSS System", IBM Thomas J. Watson Research Center, Yorktown Heights, New York, 1987
		WHITE, Steve R. and COMERFORD, Liam, "ABYSS: A Trusted Architecture for Software Protection", IBM Thomas J. Watson Research Center, Yorktown Heights, New York, 1987, pp. 38-51
Examiner:		Date Considered: